



Regulatory Reporting

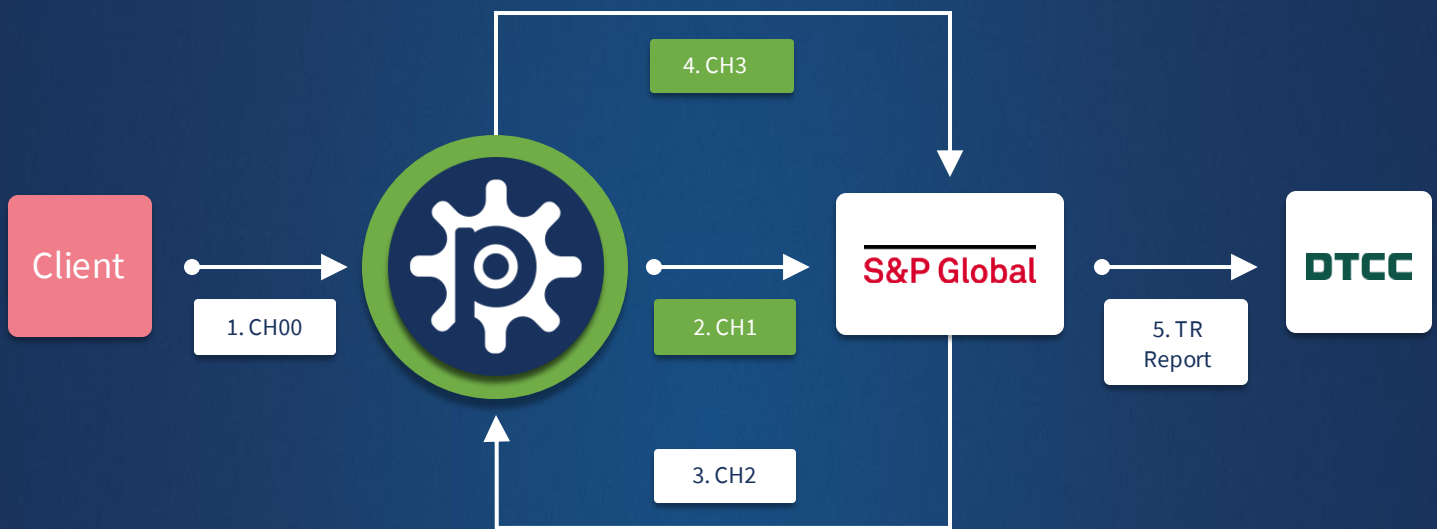
Factsheet



Regulatory Reporting - SFTR

How it works

The end-to-end Regulatory Reporting solution, covering clients SFTR, MiFID, and SEC 10c-1a reporting requirements, offered jointly with S&P Global Market Intelligence Cappitech.



1. CH00

Client sends Trade / Collateral data to Pirum. Data is then loaded and client specific transformation applied.

2. CH1

Pirum sends the data in the S&P Specification to S&P. The data is validated and enriched.

3. CH2

S&P send back the validated and enriched data to Pirum, for the reconciliation process.

4. CH3

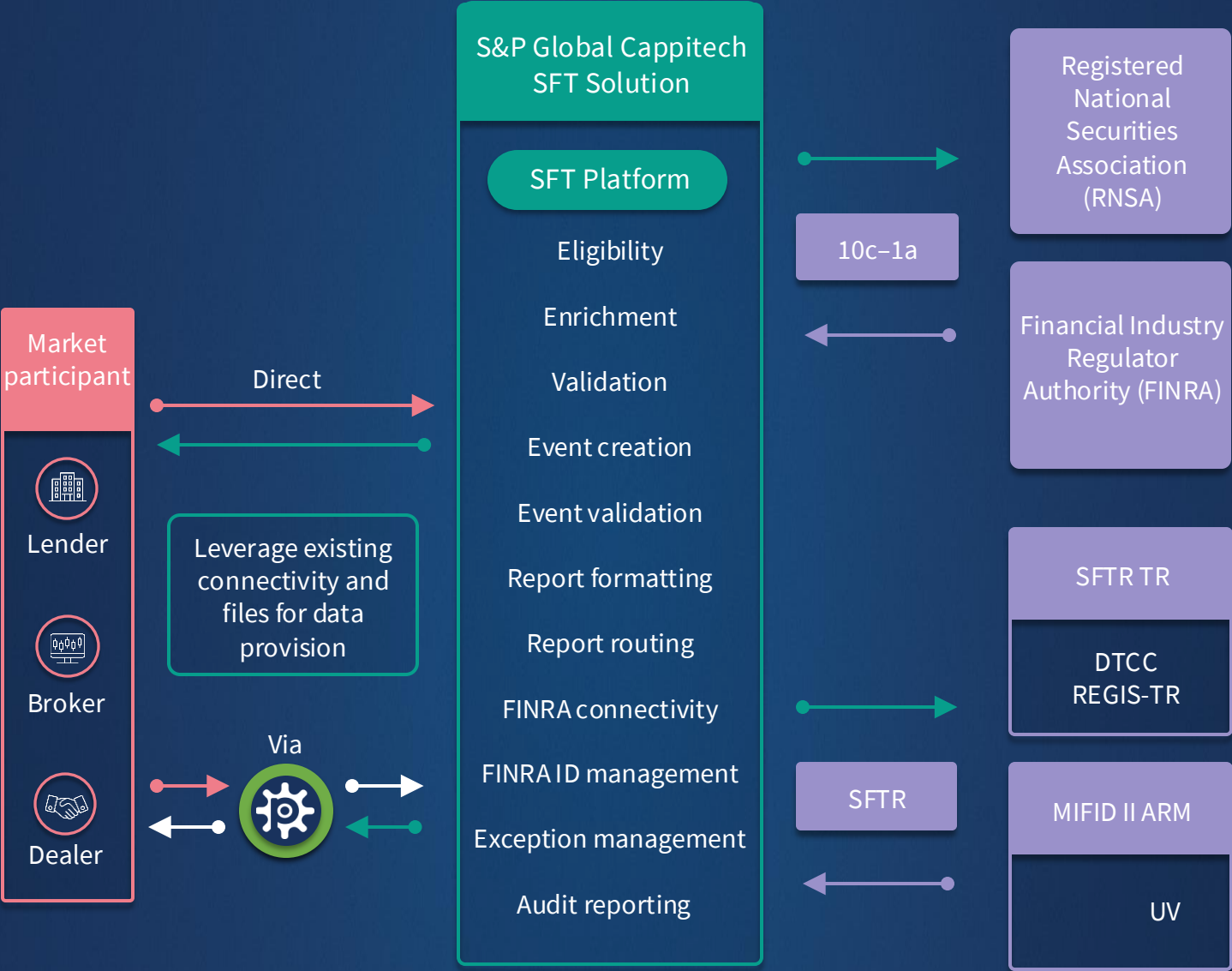
Pirum send the reconciled data back to S&P. UTI's will be assigned based on pairing status and configuration.

5. TR Report

S&P report the transaction to the Trade Repository of the client's choice, with DTCC here as an example.

Regulatory Reporting – SEC 10c-1a

How it works



Regulatory Reporting (SFTR | SEC 10c-1a)

Key features include



Data exchange



Data warehouse



Reconciliation



Reporting

Key benefits include



Regulatory compliance

Alignment with regulatory drivers, like SFTR, 10c-1a, T+1



Improved control & oversight

7 year full audit trail (on screen and reports), delivering a standardized golden copy of trading & collateral activity



Adaptable

Modular construction, allowing the solution to fit each client's unique business model



Operational efficiency

A single regulatory reporting solution, leveraging multiple existing data pipes, therefore reducing operational lifting.



Pre-Trade, Post-Trade, Collateral

Automating over USD 3 trillion in transactions per day across the full securities finance life cycle.

Connecting securities finance and collateral counterparties, trading venues, tri-party agents, regulatory reporting platforms and data vendors worldwide.

Contact us

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